



### Formal or Testamentary Trust Account Agreement

**Account Number**

**Account Name** (name that will appear on all correspondence)

**To: CIBC Investor Services Inc.** ("CIBC Investor Services")

For this:  Formal Trust there are:

Testamentary Trust, there are:

\_\_\_\_ Trustee(s)

\_\_\_\_ Trustee(s)

\_\_\_\_ Formal Trust Settlor(s)

\_\_\_\_ Testamentary Trust Settlor(s)

\_\_\_\_ Formal Trust Beneficiary/Beneficiaries entitled to More than 10% of the income or assets of the Trust

\_\_\_\_ Testamentary Trust Beneficiary/Beneficiaries

\_\_\_\_ Formal Trust Beneficiary/Beneficiaries entitled to 10% or Less of the income or assets of the Trust

In consideration of CIBC Investor Services opening, or if opened, continuing the operation of an account which may include a margin facility or an option facility (the "Account") for the trust identified by the Account Name above (the "Trust"), each undersigned Trustee (collectively, the "Trustee") represents and warrants to CIBC Investor Services that the Trust is in full force and effect, and agrees that all transactions for the Account shall be governed, inter alia, by the following terms:

1. The Trustee certifies that it is duly authorized to open the Account, and to instruct CIBC Investor Services with respect to the investments of the Trust assets.
2. CIBC Investor Services is not bound by, and has no responsibility to observe, the terms of the Trust, or any other trust or agency, whether written, verbal, implied or constructive that may exist. Without limiting the generality of the foregoing, CIBC Investor Services has no responsibility for ensuring that investments comply with any investment restrictions that may be set out in any trust or agency document or in applicable provincial or territorial legislation governing investments made by a trust.
3. CIBC Investor Services is authorized and directed to act upon the instructions of any representative of the Trustee identified by the Trustee in writing as an authorized representative with respect to all matters in relation to the Account and the Trust and Trustee shall be liable and responsible for all such actions.
4. The Trustee certifies that it is authorized to engage an investment advisor (or advisors) and to charge the compensation of such advisors against the Trust, including any other related expenses.
5. Each Trustee agrees jointly and severally (this means solidary in Quebec) to indemnify and hold harmless CIBC Investor Services against any loss, damages, liability and expenses resulting from any claim which arises as a result of CIBC Investor Services following the instructions of the Trustee or any authorized representative of the Trustee, or that may otherwise arise from the reliance by CIBC Investor Services on the representations, agreements and certifications of the Trustee.
6. This agreement is binding on CIBC Investor Service's successors and assigns, and on the Trustee (in its capacity as trustee, agent or otherwise and personally in the case of fraud, willful and/or gross negligence), its agents and their respective heirs, executors, administrators, successors and assigns.
7. If there is any difference between this agreement and any other agreement applicable to the Account, this agreement will apply. This agreement shall be governed by and construed in accordance with the laws of the province or territory in Canada where the Account is maintained and the laws of Canada applicable therein.
8. The parties have requested that this agreement and all related documents be drawn up in the English language only.  
*Les parties confirment avoir exigé que ce contrat et tous les documents s'y rapportant soient rédigés en anglais seulement.*

**Formal or Testamentary Trust Account Agreement**

9. The Trustee acknowledges that it has read and understands all of the provisions contained in this agreement and that a Trustee has received a copy of this agreement on behalf of all Trustees.

***Please sign below.***

Dated this \_\_\_\_\_ day of \_\_\_\_\_, \_\_\_\_\_.

_____	<b>X</b>	_____
Name of Trustee		Signature
_____	<b>X</b>	_____
Name of Trustee		Signature
_____	<b>X</b>	_____
Name of Trustee		Signature

**Account Number**

**Formal or Testamentary Trust Account Agreement**

**Personal Information**

Complete for each Trustee, and/or Formal Trust Settlor, and/or Formal Trust Beneficiary entitled to more than 10% of the income or assets of the Trust.

This person is the:  Trustee  Formal Trust Settlor  Formal Trust Beneficiary (More than 10%)

Legal Name

Preferred Name

Address

City

Province/Foreign Information

Country

Postal Code

Date of Birth (mm/dd/yyyy)

Primary Telephone Number

Beneficiary's Relationship to Settlor

Email Address

**Canadian Citizenship**

Yes  No If No, what is your country of citizenship?

**Common Reporting Standard (CRS) and Foreign Account Tax Compliance Act (FATCA) Tax Residency**

Country of Tax Residency

  
  
  

Social Insurance Number (SIN)/Taxpayer Identification Number (TIN)/  
Social Security Number (SSN)

  
  
  

Are you a U.S. Person (such as a resident or citizen) for tax purposes?  Yes  No

**Employment Information**

Employer's Name

Type of Business

Occupation

Address

City

Province/Foreign Information

Country

Are you, your spouse, any person(s) living in the same home, trading authority, or anyone who exercises influence on this account an Investment Industry Professional?  Yes  No

Are you an insider of a reporting issuer or any other issuer whose securities are publicly traded?  Yes  No

If Yes, list below

Do you alone or as part of a group hold more than 20% or have a control block of a reporting issuer or any other issuer whose securities are publicly traded?  Yes  No

If Yes, list below

Account Number

Formal or Testamentary Trust Account Agreement

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**Primary Financial Institution - Required only if client's identification was not verified at the Banking Centre.**

Financial Institution	Bank Transit	Bank Account Number	Financial Institution Contact Name
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>

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**Authorization**

I hereby consent to the collection, use and sharing of information as described in CIBC's privacy policy Your Privacy is Protected. This may include collecting, during the course of my relationship with CIBC, information about me from and sharing it with, the CIBC group of companies, credit bureaus, government institutions or registries, mutual fund companies and other issuers, regulators and self-regulatory organizations, other financial institutions, applicable program partners, and other such parties as may reasonably be required for the purposes of: (i) identifying me; (ii) verifying information I give you; (iii) protecting me and CIBC from error and criminal activity; and (iv) complying with legal and regulatory obligations. CIBC's privacy policy is available at any branch or [www.cibc.com](http://www.cibc.com). This policy may be amended, replaced or supplemented from time to time.

As required by Canadian law, I declare that the tax residency information and U.S. citizenship status provided (including any Tax Identification Number) are, to the best of my knowledge and belief, correct and complete. If any of this information changes, I will provide CIBC Investor Services with the updated information within 30 days. Failure to provide satisfactory self-certification of tax residency or U.S. citizenship status may result in my account information being reported to the relevant tax authority and I may be subject to a penalty under the *Income Tax Act*.

\_\_\_\_\_  
Date (mm/dd/yyyy)

X \_\_\_\_\_  
Signature

**Account Number**

**Formal or Testamentary Trust Account Agreement**

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Complete for each Trustee, and/or Formal Trust Settlor, and/or Formal Trust Beneficiary entitled to more than 10% of the income or assets of the Trust.

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Legal Name

Preferred Name

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City

Province/Foreign Information

Country

Postal Code

Date of Birth (mm/dd/yyyy)

Primary Telephone Number

Beneficiary's Relationship to Settlor

Email Address

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Country

Postal Code

Date of Birth (mm/dd/yyyy)

Primary Telephone Number

Beneficiary's Relationship to Settlor

Email Address

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\_\_\_\_\_  
Date (mm/dd/yyyy)

X \_\_\_\_\_  
Signature



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**Personal Information**

Complete for each Testamentary Trust Settlor, and/or Testamentary Trust Beneficiary, and/or Formal Trust Beneficiary entitled to 10% or less of the income or assets of the Trust.

This person is the:  Testamentary Trust Settlor  Testamentary Trust Beneficiary  Formal Trust Beneficiary (Less than 10%)

Legal Name

Preferred Name

Address

City

Province/Foreign Information

Country

Postal Code

Date of Birth (mm/dd/yyyy)

Primary Telephone Number

Beneficiary's Relationship to Settlor

Email Address

Type of Business

Occupation

**Common Reporting Standard (CRS) and Foreign Account Tax Compliance Act (FATCA) Tax Residency – Not required for Formal Trust Beneficiary (Less than 10%)**

Country of Tax Residency

Social Insurance Number (SIN)/Taxpayer Identification Number (TIN)/  
Social Security Number (SSN)

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Legal Name

Preferred Name

Address

City

Province/Foreign Information

Country

Postal Code

Date of Birth (mm/dd/yyyy)

Primary Telephone Number

Beneficiary's Relationship to Settlor

Email Address

Type of Business

Occupation

**Common Reporting Standard (CRS) and Foreign Account Tax Compliance Act (FATCA) Tax Residency – Not required for Formal Trust Beneficiary (Less than 10%)**

Country of Tax Residency

Social Insurance Number (SIN)/Taxpayer Identification Number (TIN)/  
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Preferred Name

Address

City

Province/Foreign Information

Country

Postal Code

Date of Birth (mm/dd/yyyy)

Primary Telephone Number

Beneficiary's Relationship to Settlor

Email Address

Type of Business

Occupation

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