



## Estate Account Agreement

Account Number

Account Name

To: CIBC Investor Services Inc. ("CIBC Investor Services")

For this Estate Account, there are:

\_\_\_\_ Estate Representative(s)      \_\_\_\_ Settlor(s)      \_\_\_\_ Beneficiary/Beneficiaries

In this agreement, the word "I" refers to each undersigned Estate Representative (which includes an executor, estate trustee, liquidator or administrator) for the above-noted account.

I have received and read the CIBC Investor Services Account Agreement and Disclosures booklet and agree to be bound by it.

I hereby certify that the information I provide to CIBC Investor Services is true, complete and accurate.

CIBC's privacy policy tells You how CIBC will handle Your personal information. It also tells You about Your rights and choices. In summary:

- Purposes:** CIBC may handle Your personal information to:
  - identify you
  - obey the law
  - personalize CIBC's relationship with you
  - market and send you offers, including customized marketing and offers based on your account and transaction information
  - manage risk and our business
  - improve products and services
  - enforce our rights (such as collecting a debt)
  - protect both you and CIBC against fraud and error
- Who we share with:** CIBC will share information about You within CIBC and the CIBC group of companies, and with third parties, such as credit bureaus, government institutions or registries, mutual fund companies and other issuers, regulators and self-regulatory organizations, other financial institutions, applicable program partners, any references You give us, and other such parties for the purposes above. The third parties may be outside of Your province or Canada.
- How we collect:** CIBC may collect information about You from these third parties or by using technology (for example, voice or video recordings, website cookies, mobile apps).
- What we collect:** The types of personal information we handle depend on how You interact with us, but normally include contact and identity information, account and financial information, and information about how You use our products and services.
- Privacy rights and choices:** In some cases, You have a right to withdraw consent. For example, You can call CIBC at 1 800 465-CIBC (2422) to tell us not to send You marketing messages, including customized marketing and offers from us and trusted partners. However, if You withdraw Your consent to purposes that are necessary to provide You with the account (such as identity verification, legal compliance, and fraud prevention), then Your trading authority in respect of the above-noted account will be terminated. You also have the right to see and correct the information we have about You.

You can see CIBC's privacy policy at any banking centre or online at [www.cibc.com/privacy](http://www.cibc.com/privacy). We may update this policy from time to time. We post our most up-to-date policy on our website.

By signing below, You agree to CIBC handling Your personal information as described here and in CIBC's privacy policy, and confirm You understand Your privacy choices.

Dated this \_\_\_\_\_ day of \_\_\_\_\_, \_\_\_\_\_.

\_\_\_\_\_  
Name of Estate RepresentativeX \_\_\_\_\_  
Signature\_\_\_\_\_  
Name of Estate RepresentativeX \_\_\_\_\_  
Signature\_\_\_\_\_  
Name of Estate RepresentativeX \_\_\_\_\_  
Signature

Account Number

Estate Account Agreement

**Estate Representative Information**

Legal Name

Preferred Name

Address

City

Province/Foreign Information

Country

Postal Code

Date of Birth (mm/dd/yyyy)

Primary Telephone Number

Email Address

**Canadian Citizenship**☐ Yes ☐ No

If No, what is your country of citizenship?

**Employment Information**

Employer's Name

Type of Business

Occupation

Address

City

Province/Foreign Information

Country

Are you, your spouse, any person(s) living in the same home, trading authority, or anyone who exercises influence on this account an Investment Industry Professional?

☐ Yes☐ No

Are you an insider of a reporting issuer or any other issuer whose securities are publicly traded?

☐ Yes☐ No

If Yes, list below

Do you alone or as part of a group hold more than 20% or have a control block of a reporting issuer or any other issuer whose securities are publicly traded?

☐ Yes☐ No

If Yes, list below

**Primary Financial Institution - Required only if client's identification was not verified at the Banking Centre.**

Financial Institution

Bank Transit

Bank Account Number

Financial Institution Contact Name

Account Number

Estate Account Agreement

**Estate Representative Information**

Legal Name

Preferred Name

Address

City

Province/Foreign Information

Country

Postal Code

Date of Birth (mm/dd/yyyy)

Primary Telephone Number

Email Address

**Canadian Citizenship**☐ Yes ☐ No

If No, what is your country of citizenship?

**Employment Information**

Employer's Name

Type of Business

Occupation

Address

City

Province/Foreign Information

Country

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Bank Account Number

Financial Institution Contact Name

Account Number

Estate Account Agreement

**Estate Representative Information**

Legal Name

Preferred Name

Address

City

Province/Foreign Information

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Postal Code

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Email Address

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If Yes, list below

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Financial Institution

Bank Transit

Bank Account Number

Financial Institution Contact Name

Account Number

Estate Account Agreement

Beneficiary Information

☐ Mr

☐ Mrs

☐ Miss

☐ Ms

Legal Name

Preferred Name

Address

City

Province/Foreign Information

Country

Postal Code

Date of Birth (mm/dd/yyyy)

Email Address

Beneficiary Information

☐ Mr

☐ Mrs

☐ Miss

☐ Ms

Legal Name

Preferred Name

Address

City

Province/Foreign Information

Country

Postal Code

Date of Birth (mm/dd/yyyy)

Email Address

Beneficiary Information

☐ Mr

☐ Mrs

☐ Miss

☐ Ms

Legal Name

Preferred Name

Address

City

Province/Foreign Information

Country

Postal Code

Date of Birth (mm/dd/yyyy)

Email Address

Account Number

Estate Account Agreement

Beneficiary Information

☐ Mr

☐ Mrs

☐ Miss

☐ Ms

Legal Name

Preferred Name

Address

City

Province/Foreign Information

Country

Postal Code

Date of Birth (mm/dd/yyyy)

Email Address

Beneficiary Information

☐ Mr

☐ Mrs

☐ Miss

☐ Ms

Legal Name

Preferred Name

Address

City

Province/Foreign Information

Country

Postal Code

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Email Address