

**Trading Authority Change Request**

This notice and the information below confirms the addition or deletion of a trading authority on your account.

**Required Information**

Account Number	Account Type
<input type="text"/>	<input type="text"/>
<input type="checkbox"/> Mr <input type="checkbox"/> Mrs <input type="checkbox"/> Miss <input type="checkbox"/> Ms	Account Name
	<input type="text"/>

**Representative Information (if applicable)**

Name	Telephone Number	Role	RR or IR Code
<input type="text"/>	<input type="text"/>	<input type="checkbox"/> RR <input type="checkbox"/> IR	<input type="text"/>

Account Served By ☐ IIS ☐ CFP ☐ IE

**CIRO Investment Dealer Advisor Only** ☐ I confirm that I have completed a suitability review on the account associated with this request.

**Trading Authority Information**

Please ☐ Add OR ☐ Remove the individual identified below as a trading authority on my account ☐ Change of information

Legal Name			
<input type="text"/>			
Preferred Name			
<input type="text"/>			
Address			
<input type="text"/>			
City	Province/Foreign Information	Country	Postal Code
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
Date of Birth (mm/dd/yyyy)	Relationship to Account Holder	Primary Telephone Number	Secondary Telephone Number
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
Email Address			
<input type="text"/>			

**Agent's Employment Information**

Employer's Name		
<input type="text"/>		
Type of Business		
<input type="text"/>		
Occupation		
<input type="text"/>		
Address		
<input type="text"/>		
City	Province/Foreign Information	Country
<input type="text"/>	<input type="text"/>	<input type="text"/>

Are you, your spouse, any person(s) living in the same home, trading authority, or anyone who exercises influence on this account an Investment Industry Professional? ☐ Yes ☐ No

Are you an insider of a reporting issuer or any other issuer whose securities are publicly traded? ☐ Yes ☐ No

If Yes, list below

**Agent's Employment Information (continued)**

Do you alone or as part of a group hold more than 20% or have a control block of a reporting issuer or any other issuer whose securities are publicly traded?

☐ Yes☐ No

If Yes, list below

**Agent's Primary Financial Institution (Required only if client's identity was not physically verified at the branch)**

Financial Institution

Bank Transit

Bank Account Number

Financial Institution Contact Name

X

CIBC Staff Signature

**Agreements and Disclosures****Privacy**

CIBC's privacy policy tells You, the Agent, how CIBC (including the CIBC group of companies) will handle Your personal information. It also tells You about Your rights and choices. In summary:

1. **Purposes:** CIBC may handle Your personal information to:
  - identify You
  - obey the law
  - personalize CIBC's relationship with You
  - market and send You offers, including customized marketing and offers based on Your account and transaction information
  - manage risk and our business
  - improve products and services
  - enforce our rights (such as collecting a debt)
  - protect both You and CIBC against fraud and error
2. **Who we share with:** CIBC will share information about You within CIBC and the CIBC group of companies, and with third parties, such as credit bureaus, government institutions or registries, mutual fund companies and other issuers, regulators and self-regulatory organizations, other financial institutions, applicable program partners, any references You give us, and other such parties for the purposes above. The third parties may be outside of Your province or Canada.
3. **How we collect:** CIBC may collect information about You from these third parties or by using technology (for example, voice or video recordings, website cookies, mobile apps).
4. **What we collect:** The types of personal information we handle depend on how You interact with us, but normally include contact and identity information, account and financial information, and information about how You use our products and services.
5. **Privacy rights and choices:** In some cases, You have a right to withdraw consent. For example, You can call CIBC at 1 800 465-CIBC (2422) to tell us not to send You marketing messages, including customized marketing and offers from us and trusted partners. You also have the right to see and correct the information we have about You.

You can see CIBC's privacy policy at any banking centre or online at [www.cibc.com/privacy](http://www.cibc.com/privacy). We may update this policy from time to time. We post our most up-to-date policy on our website.

To verify Your identity and protect against fraud, CIBC will validate Your information with a credit bureau. Credit bureaus may use and disclose Your information to maintain their consumer reporting database.

**By signing below, You agree to CIBC handling your personal information as described here and in CIBC's privacy policy, and confirm You understand Your privacy choices. You understand that if You revoke Your consent to certain mandatory practices or purposes (including confirming your identity, legal compliance, and protection against fraud and error), Your trading authority will be terminated.**

Any individual added as trading authority (an "Agent") on a CIBC Imperial Investor Service, CIBC Investor's Edge and CIBC Financial Planning account is authorized to give CIBC Imperial Investor Service, CIBC Investor's Edge and CIBC Financial Planning instructions for the purchase or sale of securities and to confirm those instructions in accordance with the Account Agreements and Disclosures Booklet. For a Non-Registered Account: The Agent is NOT authorized to withdraw cash or securities from either the Brokerage or a Bank account except to purchase securities. For a Registered Account: The Agent is NOT authorized to withdraw cash or securities from the account. Trading authority cannot be granted to employees of CIBC.

This account update form is used solely to update information you have provided in your Account Application Form, through subsequent updates or otherwise. If the information in this document is untrue, incorrect or incomplete, or if there is a material change in any information you have provided, you must advise us immediately.

**Quebec only:** You have asked that this form and all related documents be in English only.

*Vous avez exigé que la présente formule et tous les documents qui s'y rattachent soient rédigés en anglais.*

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**Agreements and Disclosures (continued)**

CIBC Imperial Investor Service, CIBC Investor's Edge and CIBC Financial Planning are all divisions of CIBC Investor Services Inc. ("CIBC ISI"). CIBC ISI and CIBC World Markets Inc. ("WM") are subsidiaries of CIBC. We are required by the regulators to inform you that WM (the carrying broker) provides certain back office services to CIBC ISI (the introducing broker) including trade execution, settlement, custody of cash and securities, bookkeeping and financing of customer positions. For regulatory purposes you are a client of WM. CIBC ISI is responsible for supervising your account and ensuring your investments are suitable.

_____	_____	<b>X</b>	_____
Date (mm/dd/yyyy)	Name of CIBC Representative		Signature of CIBC Representative
_____	_____	<b>X</b>	_____
Date (mm/dd/yyyy)	Name of Agent		Signature of Agent
_____	_____	<b>X</b>	_____
Date (mm/dd/yyyy)	Name of Client		Signature of Client

**For CIBC Investor Services Use Only**

Initial Acceptance _____	Authorized Officer Approval _____	Date (mm/dd/yyyy) _____
Head Office Comments _____		

Is RR registered in the province in which the client resides? ☐ Yes ☐ No