



Margin/Options Application Form
ORDER EXECUTION ONLY ACCOUNT

CIBC Investor's Edge account number	Account holder and/or joint account holder name
<input type="text"/>	<input type="text"/>

1. Employment information

a) Account holder

Account holder employer's name	Account holder occupation
<input type="text"/>	<input type="text"/>

Employer's address

City	Province/Foreign information	Country
<input type="text"/>	<input type="text"/>	<input type="text"/>

Type of business

b) Joint account holder

Joint account holder employer's name	Joint account holder occupation
<input type="text"/>	<input type="text"/>

Employer's address

City	Province/Foreign information	Country
<input type="text"/>	<input type="text"/>	<input type="text"/>

Type of business

2. Financial information

If this is a joint account, include your joint applicant's financials as well.

Estimated liquid assets	+	Estimated fixed assets	-	Estimated liabilities	=	Estimated net worth
<input type="text"/>		<input type="text"/>		<input type="text"/>		<input type="text"/>

Current annual income from all sources	If you are retired or not currently employed, indicate the source of income here
<input type="text"/>	<input type="text"/>

3. Trading privileges

a) Account feature (select only one)

For non-registered accounts ☐ Margin account ☐ Margin account with Options Trading

For registered accounts ☐ Options Trading

b) Margin accounts (not allowed for registered plans)

Are you using borrowed funds to invest in this account?* ☐ Yes ☐ No If Yes, complete the following:

Is this a revolving loan?* ☐ Yes ☐ No

Total loan amount	Monthly payment	Average loan interest rate
<input type="text"/>	<input type="text"/>	<input type="text"/>

*The drawn down portion of loan or line of credit used to invest is considered **borrowed funds**. A **revolving loan** is a loan or line of credit where funds can be withdrawn up to a certain number, repaid, and drawn again. Examples include credit cards, home equity lines of credit, and personal and business lines of credit.

Trading privileges (continued)**c) Options trading (select one tier only)**

How many years have you been investing? _____		How many years have you been investing with options? _____	
For margin accounts and registered plans		For margin accounts only	
<input type="radio"/> Tier 1	<input type="radio"/> Tier 2	<input type="radio"/> Tier 3	<input type="radio"/> Tier 4
<ul style="list-style-type: none">▪ Buy calls and puts	<ul style="list-style-type: none">▪ Buy calls and puts▪ Covered writing	<ul style="list-style-type: none">▪ Buy calls and puts▪ Covered writing▪ Spreads	<ul style="list-style-type: none">▪ Buy calls and puts▪ Covered writing▪ Spreads▪ Naked writing

4. Client authorization

If you're applying for margin trading privileges: You want margin trading privileges in the above-noted account with CIBC Investor's Edge. You've received and read the Margin Agreement in the CIBC Investor's Edge Account Agreements and Disclosures booklet, and agree to be bound by it. You've received and read the Leveraging Disclosure in the Account Agreements and Disclosures booklet and understand the implications of borrowing money to invest in securities.

If you're applying for option trading privileges: You want option trading privileges in the above-noted account with CIBC Investor's Edge. You've received and read the Derivatives Trading Agreement and the Derivatives Risk Disclosure Statement in the CIBC Investor's Edge Account Agreements and Disclosures booklet, and agree to be bound by it.

You certify that the information above is true, accurate and complete and agree to advise CIBC Investor's Edge immediately in writing if there's any significant change in this information.

CIBC Investor's Edge does not provide you with any legal, tax or accounting advice or advice regarding the suitability or profitability of a security or investment in an Investor's Edge account. You assume full responsibility for transactions in your Investor's Edge account and your investment decisions. You acknowledge that your orders will be executed without prior review for suitability by CIBC Investor's Edge. In other words, CIBC Investor's Edge will not consider your financial situation, investment knowledge, investment objectives or risk tolerance when accepting orders from you.

You confirm that you aren't looking to receive investment advice. If you intend to trade online, you confirm you have the necessary technical ability and resources to conduct online activities.

You agree to CIBC (including CIBC Investor Services Inc.) handling your personal information for the purposes described in this form. CIBC may share this information with third parties who may be outside of your province or Canada. For more information, please review our privacy policy, which is available at any banking centre or online at www.cibc.com/privacy and tells you more about your privacy rights and choices.

_____	_____	X	_____
Date (mm/dd/yyyy)	Account holder name (print)		Account holder signature (sign within box)
_____	_____	X	_____
Date (mm/dd/yyyy)	Joint account holder name (print)		Joint account holder signature (sign within box)