



**Margin/Options Application Form**  
**ORDER EXECUTION ONLY ACCOUNT**

CIBC Investor's Edge account number \_\_\_\_\_ Account holder and/or joint account holder name \_\_\_\_\_

**1. Employment information**

**a) Account holder**

Account holder employer's name \_\_\_\_\_ Account holder occupation \_\_\_\_\_

Employer's address \_\_\_\_\_

City \_\_\_\_\_ Province/Foreign information \_\_\_\_\_ Country \_\_\_\_\_

Type of business \_\_\_\_\_

**b) Joint account holder**

Joint account holder employer's name \_\_\_\_\_ Joint account holder occupation \_\_\_\_\_

Employer's address \_\_\_\_\_

City \_\_\_\_\_ Province/Foreign information \_\_\_\_\_ Country \_\_\_\_\_

Type of business \_\_\_\_\_

**2. Financial information**

If this is a joint account, include your joint applicant's financials as well.

Estimated liquid assets \_\_\_\_\_ + Estimated fixed assets \_\_\_\_\_ - Estimated liabilities \_\_\_\_\_ = Estimated net worth \_\_\_\_\_

Current annual income from all sources \_\_\_\_\_ If you are retired or not currently employed, indicate the source of income here \_\_\_\_\_

**3. Trading privileges**

**a) Account feature (select only one)**

**For non-registered accounts**     Margin account     Margin account with Options Trading

**For registered accounts**     Options Trading

**b) Margin accounts (not allowed for registered plans)**

Are you using borrowed funds to invest in this account?\*     Yes     No    If Yes, complete the following:

Is this a revolving loan?\*     Yes     No

Total loan amount \_\_\_\_\_ Monthly payment \_\_\_\_\_ Average loan interest rate \_\_\_\_\_

\*The drawn down portion of loan or line of credit used to invest is considered **borrowed funds**. A **revolving loan** is a loan or line of credit where funds can be withdrawn up to a certain number, repaid, and drawn again. Examples include credit cards, home equity lines of credit, and personal and business lines of credit.

**Trading privileges (continued)**

**c) Options trading (select one tier only)**

How many years have you been investing? _____	How many years have you been investing with options? _____
<p style="text-align: center;"><b>For margin accounts and registered plans</b></p> <p><input type="radio"/> <b>Tier 1</b></p> <ul style="list-style-type: none"> <li>▪ Buy calls and puts</li> </ul> <p><input type="radio"/> <b>Tier 2</b></p> <ul style="list-style-type: none"> <li>▪ Buy calls and puts</li> <li>▪ Covered writing</li> </ul>	<p style="text-align: center;"><b>For margin accounts only</b></p> <p><input type="radio"/> <b>Tier 3</b></p> <ul style="list-style-type: none"> <li>▪ Buy calls and puts</li> <li>▪ Covered writing</li> <li>▪ Spreads</li> </ul> <p><input type="radio"/> <b>Tier 4</b></p> <ul style="list-style-type: none"> <li>▪ Buy calls and puts</li> <li>▪ Covered writing</li> <li>▪ Spreads</li> <li>▪ Naked writing</li> </ul>

**4. Client authorization**

**If you're applying for margin trading privileges:** You want margin trading privileges in the above-noted account with CIBC Investor's Edge. You've received and read the Margin Agreement in the CIBC Investor's Edge Account Agreements and Disclosures booklet, and agree to be bound by it. You've received and read the Leveraging Disclosure in the Account Agreements and Disclosures booklet and understand the implications of borrowing money to invest in securities.

**If you're applying for option trading privileges:** You want option trading privileges in the above-noted account with CIBC Investor's Edge. You've received and read the Options Trading Agreement in the CIBC Investor's Edge Account Agreements and Disclosures booklet, and agree to be bound by it.

You certify that the information above is true, accurate and complete and agree to advise CIBC Investor's Edge immediately in writing if there's any significant change in this information.

CIBC Investor's Edge does not provide you with any legal, tax or accounting advice or advice regarding the suitability or profitability of a security or investment in an Investor's Edge account. You assume full responsibility for transactions in your Investor's Edge account and your investment decisions. You acknowledge that your orders will be executed without prior review for suitability by CIBC Investor's Edge. In other words, CIBC Investor's Edge will not consider your financial situation, investment knowledge, investment objectives or risk tolerance when accepting orders from you.

You confirm that you aren't looking to receive investment advice. If you intend to trade online, you confirm you have the necessary technical ability and resources to conduct online activities.

		<b>X</b>	
Date (mm/dd/yyyy)	Account holder name (print)		Account holder signature (sign within box)

		<b>X</b>	
Date (mm/dd/yyyy)	Joint account holder name (print)		Joint account holder signature (sign within box)