

Investment Account Application ORDER EXECUTION ONLY ACCOUNT

- Please review the "Account Agreements and Disclosures Booklet" before completing this form.
- In this application, "spouse" means your spouse, common law partner or civil union partner.
- In this application, "CIBC" refers to Canadian Imperial Bank of Commerce.
- **AN ACCOUNT CANNOT BE OPENED UNLESS ALL REQUIRED INFORMATION IS INCLUDED.**
- CIBC Investor's Edge accounts are offered by the CIBC Investor's Edge division of CIBC Investor Services Inc.

CIBC Investor's Edge does not provide you with any legal, tax or accounting advice or advice regarding the suitability or profitability of a security or investment in an Investor's Edge account. You assume full responsibility for transactions in your Investor's Edge account and your investment decisions.

Additional Documentation Required

Identification Types	Identification
	<input type="checkbox"/> Any two of: Valid Passport, Valid Driver's License, Birth Certificate or Canadian Citizenship Card Note: For additional information on other types of acceptable identification, please consult CIBC Investor's Edge.
	Qualified Intermediary To trade in U.S. Securities, one of the following pieces of ID is required: <ul style="list-style-type: none"> <input type="checkbox"/> Photocopy** of approved ID (one of passport, driver's license, birth certificate (if under 21 years of age), age of majority, citizenship card)*; OR <input type="checkbox"/> Form W-8BEN or W9 - U.S. Internal Revenue Service* <p>*Failure to provide this documentation will result in the immediate restriction from trading in U.S. securities. **Photocopies of the approved ID must be made at a CIBC Branch or mailed directly by the client with a valid SIN written on the photocopy.</p>
Joint Account	<input type="checkbox"/> Each applicant must provide approved ID or W8/W9*
Formal Trust Account	<input type="checkbox"/> Trust Documents (Legal Trust) <input type="checkbox"/> Formal Trust Account Agreement (Form 8269)
Sole Proprietor	<input type="checkbox"/> Agreement/Resolution (Form 6719) <input type="checkbox"/> Registration Paper <input type="checkbox"/> An unregistered Sole Proprietor account must provide only approved ID or W8/W9*
Corporate or Partnership	<input type="checkbox"/> Guarantee Agreement (Form 6742 or 6742 Alberta) <input type="checkbox"/> Partnership Agreement (Form 6720) <input type="checkbox"/> Agreement/Resolution (Form 6719) <input type="checkbox"/> Articles of Incorporation and a Certificate of Corporate Status <input type="checkbox"/> For Partnership accounts approved ID or W8-BEN for each partner and a W8-IMY for the Partnership*
Estate	<input type="checkbox"/> Notarized copy of Death Certificate (certified true copy also accepted in Quebec) <input type="checkbox"/> Notarized copy of Probated Will (certified true copy also accepted in Quebec) <input type="checkbox"/> Estate Account Agreement (Form 8268) <input type="checkbox"/> Letter of Direction signed by the executor <input type="checkbox"/> Declaration of Transmission (Quebec only)
Investment Club	<input type="checkbox"/> Investment Club Account Agreement (Form 6718) <input type="checkbox"/> Approved ID or W8-BEN for each member of the Investment Club* <input type="checkbox"/> For formal (legally documented) Investment Clubs a W8-IMY is also required*
To transfer an account to CIBC Investor's Edge	<input type="checkbox"/> Authorization to Transfer Non-Registered Account (Form 8021); OR <input type="checkbox"/> Letter of Direction signed by the client

Once your application is complete, please return it, with any other required information as listed above, to a CIBC branch or CIBC Investor Services at the address below. **Original documentation is required.**

CIBC Investor Services Inc., Transit 3202, Client File Administration, 161 Bay Street, 4th Floor, Toronto, ON M5J 2S8

If this is the first account that you have opened with CIBC Investor's Edge, you will receive a CIBC Investor's Edge Welcome Kit in the mail with your account number and a guide to the products and services offered by CIBC Investor's Edge. If you already have an account with CIBC Investor's Edge, you will receive a letter in the mail indicating your new account number. If you would like to access your new account before you receive the kit or letter, please call CIBC Investor's Edge directly to obtain the account number.

If you have any questions, or require assistance when completing this form, please talk to your branch representative or call CIBC Investor's Edge at 1 800 567-3343.

Account Number

CIBC Investor's Edge Investment Account Application

Are you (select only one): ☐ Opening a new Investment Account OR ☐ Updating an existing Investment Account

Indicate how you came to know about the account: ☐ Marketing ☐ Recommendation ☐ Walk-in ☐ Other _____

General Information

1. Personal Information

Applicant

☐ Photocopy of approved ID and/or W8/W9 form attached. Failure to provide this documentation will result in the immediate restriction from trading in U.S. securities.

☐ Mr. ☐ Mrs.
☐ Ms ☐ Miss

Legal Name
Preferred Name

Date of Birth (mm/dd/yyyy) *If this is a corporate account, please complete the date of incorporation.*
U.S. Social Security Number/T.I.N. (required if U.S. Person)

Language Preference ☐ English ☐ French

Social Insurance Number (SIN)

<- If this is a limited partnership, please complete the registration date.

We are required to obtain your Social Insurance Number (or international equivalent number) to comply with tax reporting and other legal requirements.

Canadian Citizenship

☐ Yes ☐ No If No, what is Your country of citizenship? _____

Home Address (P.O. Boxes, General Delivery or c/o addresses are not acceptable. If this is a corporate account, please complete company address.)

Address (number and street name)

City Province/Foreign Information Country Postal Code

Primary Telephone Number Secondary Telephone Number Email Address

Send mail to ☐ Home Address OR ☐ Address below

Address (number and street name)

City Province/Foreign Information Country Postal Code

Account Class

Are you, your spouse, any person(s) living in the same home, trading authority, or anyone who exercises influence on this account an Investment Industry Professional?

☐ Yes ☐ No

Are you an insider of a reporting issuer or any other issuer whose securities are publicly traded?

☐ Yes ☐ No If Yes, list below:

List

Do you alone or as part of a group hold more than 20% or have a control block of a reporting issuer or any other issuer whose securities are publicly traded?

☐ Yes ☐ No If Yes, list below:

List

Applicant's Primary Financial Institution (Required only if client's identity was not physically verified at the branch)

Financial Institution Bank Transit Bank Account Number Financial Institution Contact Name

Do you have a spouse? ☐ Yes ☐ No If Yes, and your spouse is not a joint applicant, complete the following:

Spouse's Legal Name
Employer

Is your spouse an insider of a reporting issuer or any other issuer whose securities are publicly traded?

☐ Yes ☐ No If Yes, list below:

List

Does your spouse alone or as part of a group hold more than 20% or have a control block of a reporting issuer or any other issuer whose securities are publicly traded?

☐ Yes ☐ No If Yes, list below:

List

Account Number

CIBC Investor's Edge Investment Account Application

Personal Information (continued)

Joint Applicant

☐ Photocopy of approved ID and/or W8/W9 form attached. Failure to provide this documentation will result in the immediate restriction from trading in U.S. securities.☐ Mr. ☐ Mrs.
☐ Ms ☐ MissJoint Applicant's Legal Name
Preferred Name
Date of Birth (mm/dd/yyyy)

Language Preference

☐ English ☐ FrenchSocial Insurance Number (SIN)
U.S. Social Security Number/T.I.N. (required if
U.S. Person)

We are required to obtain your Social Insurance Number (or international equivalent number) to comply with tax reporting and other legal requirements.

Canadian Citizenship

☐ Yes ☐ No If No, what is Your country of citizenship?

Joint Applicant's Home Address

☐ Same as Applicant ☐ Address below (P.O. Boxes, General Delivery or c/o addresses are not acceptable)Address (number and street name)
City
Province/Foreign Information
Country
Postal Code
Primary Telephone Number
Secondary Telephone Number
Email Address
Send mail to ☐ Home Address OR ☐ Address belowAddress (number and street name)
City
Province/Foreign Information
Country
Postal Code

Account Class

Are you, your spouse, any person(s) living in the same home, trading authority, or anyone who exercises influence on this account an Investment Industry Professional?

☐ Yes ☐ No

Are you an insider of a reporting issuer or any other issuer whose securities are publicly traded?

☐ Yes ☐ No If Yes, list below:List

Do you alone or as part of a group hold more than 20% or have a control block of a reporting issuer or any other issuer whose securities are publicly traded?

☐ Yes ☐ No If Yes, list below:List

Joint Applicant's Primary Financial Institution (Required only if client's identity was not physically verified at the branch)

Financial Institution
Bank Transit
Bank Account Number
Financial Institution Contact Name
Do you have a spouse? ☐ Yes ☐ No If Yes, and your spouse is not a joint applicant, complete the following:Spouse's Legal Name
Employer

Is your spouse an insider of a reporting issuer or any other issuer whose securities are publicly traded?

☐ Yes ☐ No If Yes, list below:List

Does your spouse alone or as part of a group hold more than 20% or have a control block of a reporting issuer or any other issuer whose securities are publicly traded?

☐ Yes ☐ No If Yes, list below:List

Account Number

CIBC Investor's Edge Investment Account Application

Personal Information (continued)**Third Party Determination**

Is this account to be used by, or on behalf of, a third party?

☐ Yes☐ No

If Yes, complete the following:

Legal Name (or name of corporation or non-personal entity)

Date of Birth (mm/dd/yyyy)

Relationship to You

Address

City

Province/Foreign Information

Country

Postal Code

Employer's Name

Type of Business (mandatory for all third parties)

Occupation

If the third party is a corporation, also complete the following:

Incorporation Number

Place of Issuance

Third Party Financial Interest

Does someone other than the applicants have a financial interest in the account?

☐ Yes☐ No

If Yes, complete the following:

☐ Same as Third Party Determination

Legal Name (or name of corporation or non-personal entity)

Date of Birth (mm/dd/yyyy)

Relationship to You

Address

City

Province/Foreign Information

Country

Postal Code

Employer's Name

Type of Business (mandatory for all third parties)

Occupation

If the third party is a corporation, also complete the following:

Incorporation Number

Place of Issuance

Account Number

CIBC Investor's Edge Investment Account Application

2. Employment, Financial and Investment Information

a) Employment Information

Applicant

Employer's Name

Address

City

Province/Foreign Information

Country

Type of Business

Occupation

Joint Applicant

Employer's Name

Address

City

Province/Foreign Information

Country

Type of Business

Occupation

b) Financial Information (if this is a joint application, please consider your joint applicant as well)

Estimated Liquid Assets

A

Estimated Fixed Assets

+ B

Less Liabilities

- C

Estimated Total Net Worth

= D

Current annual income from all sources

If you are retired or NOT currently employed, please indicate the source of your annual income here.

c) Intended Use

What is the intended use of this account?

Account Information

3. Account Type

Personal Accounts Please check **one** of the following:☐ Individual☐ Joint Tenants in Common

In the event of the death of either applicant, the interest in the account shall be deemed to be equal unless otherwise specified by all joint applicants or their authorized representatives in writing.
(In Quebec, the surviving applicant and the executor of the estate must provide joint instructions.)

☐ Joint Tenants with Right of Survivorship

(Not available in Quebec)

In the event of the death of either applicant, the entire interest in the account shall become property of the survivor.

Non-Personal Accounts

☐ Sole Proprietor☐ Investment Club☐ Partnership☐ Trust☐ Estate☐ Corporation☐ Other

Incorporation/Registration Number

Place of Issuance

☐ Charitable Organization☐ Registered with Canada Revenue Agency☐ UnregisteredDoes the Unregistered Charity solicit financial contributions from the public? ☐ Yes ☐ No

Additional documentation is required for certain accounts. Please see instructions on page 1 of this form.

Account Number

CIBC Investor's Edge Investment Account Application

4. Contributions and Payments

a) Transferring Funds to/from your CIBC Bank Account

If you would like CIBC Investor's Edge to transfer funds between your CIBC Investor's Edge Account and your CIBC Bank Account upon receiving instructions from you or your Trading Authority (as indicated in section 5) either by phone, or through these forms, please complete the following (bank accounts where multiple signatures are required will not be accepted):

Canadian Dollar Account	Is this a joint bank account?	<input type="checkbox"/> Yes <input type="checkbox"/> No	Bank Transit	Bank Account Number
U.S. Dollar Account	Is this joint bank account?	<input type="checkbox"/> Yes <input type="checkbox"/> No	Bank Transit	Bank Account Number

b) Investment Income

How would you like your investment income directed to you?

☐ Deposited to your CIBC Investor's Edge Account ☐ Deposited to your CIBC Bank Account Daily

Income is paid to your CIBC Investor's Edge Account on the date received by the security issuer. Funds will not be automatically transferred to your CIBC Bank Account if your investment income is less than \$25 in total.

Special Instructions

5. Trading Authorization

Will any person, other than yourself, have trading authority over this account? ☐ Yes ☐ No If yes, complete the following:

Trading Authority's Legal Name			
Trading Authority's Preferred Name			
Address (number and street name)			
City	Province/Foreign Information	Country	Postal Code
Relationship to Account Holder		Date of Birth (mm/dd/yyyy)	Telephone Number
Trading Authority's Email Address			

Is the Trading Authority, the Trading Authority's spouse/common-law partner, any person(s) living in the same home as them, an Investment Industry Professional?

☐ Yes ☐ No

Is the Trading Authority an insider of a reporting issuer or any other issuer whose securities are publicly traded?

☐ Yes ☐ No If Yes, list below:

List

Does the Trading Authority alone or as part of a group hold more than 20% or have a control block of a reporting issuer or any other issuer whose securities are publicly traded?

☐ Yes ☐ No If Yes, list below:

List

Trading Authority's Employment Information

Employer's Name		
Address		
City	Province/Foreign Information	Country
Type of Business		
Occupation		

Trading Authority's Primary Financial Institution (Required only if Trading Authority's identity was not physically verified at the branch)

Financial Institution	Bank Transit	Bank Account Number	Financial Institution Contact Name
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Account Number

CIBC Investor's Edge Investment Account Application

6. Handling Instructions

a) Duplicate Statements and/or Trade Confirms

Please indicate if you would like either or both pieces of information listed below sent to a particular individual.

☐ Duplicate Statement ☐ Duplicate Confirms

Legal Name

Address (number and street name)

City

Province/Foreign Information

Country

Postal Code

b) Securityholder Information (Please refer to the section "Securityholder Information" in your "Account Agreements and Disclosures Booklet".)

You have read and understand the explanation to clients that has been provided to you in the Account Agreements and Disclosures Booklet in connection with this section and the choices indicated by you apply to all securities of Canadian reporting issuers held in this account.

Part I - Disclosure of Beneficial Information

- ☐ **You do not object** to disclosing your name, address, e-mail address, preferred language of communication and securities holding of a Canadian reporting issuer which you hold with us to the Canadian reporting issuer and to other persons or companies with respect to the affairs of the Canadian reporting issuer in accordance with Canadian securities law.
- ☐ **You do object** to disclosing information described above. You understand that the direct costs associated with the distribution by us of issuers' securityholder communications may be charged against your account listed above, if the sender does not pay for such costs.

Part II - Receiving Securityholder Materials

You must mark the corresponding box to indicate which materials you want to receive. Securityholder materials sent to beneficial owners of securities consist of the following materials: (a) proxy-related materials, including annual reports and financial statements, for annual and special meetings; (b) annual reports and financial statements that are not part of proxy-related materials; and (c) materials sent to securityholders that are not required by corporate or securities law to be sent.

- ☐ You want to receive all securityholder materials sent to beneficial owners of securities of Canadian reporting issuers.
- ☐ You decline to receive all securityholder materials sent to beneficial owners of Canadian reporting issuers.
- ☐ You want to receive ONLY proxy-related materials in connection with a special meeting that are sent to beneficial owners of securities of Canadian reporting issuers.

(Important note: These instructions do not apply to any specific request you give or may have given to a Canadian reporting issuer concerning the sending of interim financial statements of the Canadian reporting issuer. In addition, in some circumstances, the instructions you give in this client response form will not apply to annual reports or financial statements of an investment fund that are not part of proxy-related materials. An investment fund is also entitled to obtain specific instructions from you on whether you wish to receive its annual report or financial statements, and where you provide specific instructions, the instructions in this form with respect to financial statements will not apply.)

Part III - Preferred Language of Communication

You understand that the materials you receive will be in your preferred language of communication, as indicated in Section 1, if the materials are available in that language.

Please note that you can change your decisions at any time by contacting us.

7. Account Features

a) For Margin and Options Accounts ONLY

☐ Margin Trading

You would like margin trading privileges and have received and read the Margin Agreement in the CIBC Investor's Edge Account Agreements and Disclosures Booklet, and agree to be bound by it.

Are you using borrowed funds to invest in this account? ☐ Yes ☐ No

_____ **X** _____ **X**
Date (mm/dd/yyyy) Applicant's Signature Date (mm/dd/yyyy) Joint Applicant's Signature

☐ Option Trades (to obtain Option Trading privileges, Margin Trading must also be selected)

You would like the ability to trade options and have received, read and understand the Derivatives Trading Agreement and the Derivatives Risk Disclosure Statement in the CIBC Investor's Edge Account Agreements and Disclosures Booklet. You are also aware of the risks involved in option transactions and are willing to take those risks.

How long have you been investing with options? _____

Indicate the option strategies that you are requesting:

☐ Long calls and long puts ☐ Covered writing ☐ Spreading ☐ Naked writing

_____ **X** _____ **X**
Date (mm/dd/yyyy) Applicant's Signature Date (mm/dd/yyyy) Joint Applicant's Signature

Account Number

CIBC Investor's Edge Investment Account Application

Account Features (continued)**b) For Accounts with Trading Authority ONLY**

Trading Authority's Legal Name

The Trading Authority is authorized to give CIBC Investor's Edge instructions for the purchase or sale of securities, on margin or otherwise, and to confirm those instructions in accordance with the Account Agreements and Disclosures Booklet. The Trading Authority is NOT authorized to withdraw cash or securities from the account. Trading authority cannot be granted to employees of CIBC.

To verify the identity of the Trading Authority and protect against fraud, We may validate the Trading Authority's information with a credit bureau. Credit bureaus may use and disclose personal information to maintain their consumer reporting database. CIBC (including CIBC Investor's Edge) will otherwise handle the Trading Authority's personal information as described under "Privacy" below and in accordance with CIBC's privacy policy, available at any banking centre or online at www.cibc.com/privacy. The CIBC privacy policy may be amended, replaced or supplemented from time to time.

By signing here, the Trading Authority confirms the above and consents to Us validating their information with a credit bureau and the handling of their information as described in this form. If the Trading Authority revokes this consent the Trading Authority's trading authority will be terminated.

Date (mm/dd/yyyy)

X

Trading Authority's Signature

8. Common Reporting Standard (CRS) and Foreign Account Tax Compliance Act (FATCA) Tax Residency

CIBC Investor Services is required to collect the client's tax residency information (SIN/SSN/TIN) in order to comply with its legal and tax reporting obligations under certain tax legislation related to the implementation of CRS, FATCA and similar requirements in the United Kingdom and Caribbean.

Information provided by you regarding your tax residency may be reported to the relevant government tax authorities in accordance with applicable law. Please indicate the country or countries in which you are a resident for tax purposes:

Applicant

Applicant's Name

Country of Tax Residency

Social Insurance Number (SIN)/Taxpayer Identification Number (TIN)/
Social Security Number (SSN)

Are you a U.S. Person (such as a resident or citizen) for tax purposes?

☐ Yes☐ No**Joint Applicant**

Joint Applicant's Name

Country of Tax Residency

Social Insurance Number (SIN)/Taxpayer Identification Number (TIN)/
Social Security Number (SSN)

Are you a U.S. Person (such as a resident or citizen) for tax purposes?

☐ Yes☐ No

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CIBC Investor's Edge Investment Account Application

9. Privacy

CIBC's privacy policy tells You how CIBC and the CIBC group of companies (including CIBC Investor Services Inc.) will handle Your personal information. It also tells You about Your rights and choices. In summary:

- a) **Purposes:** CIBC may handle Your personal information to:
 - identify You and confirm Your eligibility for products
 - obey the law
 - personalize CIBC's relationship with You
 - market and send You offers, including customized marketing and offers based on Your account and transaction information
 - manage risk and our business
 - improve products and services
 - enforce our rights (such as collecting a debt)
 - protect both You and CIBC against fraud and error
- b) **Who we share with:** CIBC will share information about me within CIBC and the CIBC group of companies, and with third parties, such as credit bureaus, program partners, government institutions or registries, mutual fund companies and other issuers, regulators and self-regulatory organizations, payment networks, financial institutions, any references I have given CIBC, service providers and other third parties for the purposes above. The third parties may be outside of my province or Canada.
- c) **How we collect:** CIBC may collect information about You from these third parties or by using technology (for example, voice or video recordings, website cookies, mobile apps).
- d) **What we collect:** The types of personal information we handle depend on how You interact with us (including the information You provide us), but normally include contact and identity information, account and financial information, and information about how You use our products and services. We collect Your social insurance number to comply with applicable legal and tax reporting requirements, and otherwise with Your consent.
- e) **Automated processing and decisions:** We may use Your personal information to make an automated decision about this application. To learn more about automated processing and decisions, review our privacy policy.
- f) **Privacy rights and choices:** In some cases, You have a right to withdraw consent. For example, You can call CIBC at 1 800 465-CIBC (2422) to tell us not to send You marketing messages, including customized marketing and offers from us and trusted partners. You also have the right to see and correct the information we have about You.
- g) **Identity verification:** If needed to verify Your identity and protect against fraud, we will validate Your information with a credit bureau. Credit bureaus may use and disclose Your information to maintain their consumer reporting database.

If you provide personal information about a third party in this form or otherwise in connection with this account (such as a beneficiary), You also confirm that You have the authority to consent to the above on their behalf and that You have informed them how to obtain a copy of CIBC's privacy policy.

You can see CIBC's privacy policy at any banking centre or online at www.cibc.com/privacy. We may update this policy from time to time. We post Our most up-to-date policy on our website.

By signing this Application, You agree to CIBC handling Your personal information as described here and in CIBC's privacy policy, and confirm You understand Your privacy choices.

Authorization

10. Account Agreement and Authorization

You certify that the information in this application is true, accurate and complete and agree to advise us immediately in writing if there is any significant change in this information.

Once your application is approved, CIBC Investor's Edge may send you additional agreements and/or disclosures depending upon the type of account and/or service you have selected.

Quebec only: You have asked that this form and all related documents be in English only.

Vous avez exigé que la présente formule et tous les documents qui s'y rattachent soient rédigés en anglais.

CIBC Investor's Edge may obtain credit information about you from your employer(s), any credit bureau, or any person in connection with any of your dealings with it.

CIBC Investor's Edge does not provide you with any legal, tax or accounting advice or advice regarding the suitability or profitability of a security or investment in an Investor's Edge account. You assume full responsibility for transactions in Your Investor's Edge account and Your investment decisions. You acknowledge that Your orders will be executed without prior review for suitability by CIBC Investor's Edge. In other words, CIBC Investor's Edge will not consider your financial situation, investment knowledge, investment objectives or risk tolerance when accepting orders from you.

☐ You confirm that you are not looking to receive investment advice. If you intend to trade online, you confirm you have the necessary technical ability and resources to conduct online activities.

Account Number

CIBC Investor's Edge Investment Account Application

Account Agreement and Authorization (continued)

You have received and read the Account Agreements and Disclosures Booklet and agree to be bound by it. You have read the Leveraging Disclosure in the Account Agreements and Disclosure Booklet and understand the implications of borrowing money to invest in securities. You have read the Commissions, Fees and Interest Schedule and agree to pay the standard commissions and fees as we may establish from time to time.

As required by Canadian law, I declare that the tax residency information and U.S. citizenship status provided (including any Tax Identification Number) are, to the best of my knowledge and belief, correct and complete. If any of this information changes, I will provide CIBC Investor Services with the updated information within 30 days. Failure to provide satisfactory self-certification of tax residency or U.S. citizenship status may result in my account information being reported to the relevant tax authority and I may be subject to a penalty under the *Income Tax Act*.

Limitation on Benefits for U.S. Investments: *This section must be completed for corporations, estates, trusts, charitable or non-profit organizations.*

You are a corporation, estate, trust, charitable or non-profit organization that meets all provisions that are necessary to claim a reduced rate of withholding tax, including any limitation on benefits provisions, and derives the income within the meaning of section 894 of the U.S. Code, and Section XXIX-A of the Canada-U.S. Income Tax Convention, and the regulations thereunder, as the beneficial owner.

☐ Yes ☐ No

Please sign below.

<hr/>	X	<hr/>	X	<hr/>
Date (mm/dd/yyyy)		Applicant's Signature		Joint Applicant's Signature

Please note that original documentation is required, otherwise this account will be restricted from trading.

For CIBC Investor Services Use Only**Personal Banker / CIBC Advisor**

Name <hr/>		
Transit <hr/>	Telephone Number <hr/>	RR Code <hr/>

I confirm that Bank Reference checks have been completed for all Applicants & Trading Authority(s) listed on this application, as required.

<hr/>	X	<hr/>
Date (mm/dd/yyyy)		Branch Signature